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Application Number	09/696,675	
Filing Date	10/25/2000	
First Named Inventor	Eric J. Geiger	
Art Unit	1711	
Examiner Name	Rabon A. Sergent	
Attorney Docket Number	16361US01	

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☑ Please change the correspondence address for the above-identified application to:						
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Customer Number.		20,110				
OR						
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Applicant/Inventor.						
☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)						
SIGNATURE of Applicant or Assignee of Record						
Signature	Ric	hand N. Son	una	~~		
Name	Richard S.					
Date	November	30, 2004	Teleph	one		
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.						
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STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Eric J. Geiger and Douglas K. Hillshafer Application No./Patent No.: 09/696,675 Filed/Issue Date: 10/25/2000 Entitled: Phthalic Anhydride Based Polyester-Ether Polyols and Double Metal Cyanide Catalyst System for Preparing Stepan Company _a <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: the assignee of the entire right, title, and interest; or an assignee of less than the entire right, title, and interest The extent (by percentage) of its ownership interest is _____ % in the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office herewith. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.081 The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Richard & Struman November 30, 2004 Signature Date Richard S. Brennan 312-775-8000 Printed or Typed Name Telephone Number Vice President and General

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Palent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 7/31/2006 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. 09/696,675 **Application Number** TRANSMITTAL **FORM** 10/25/2000 Filing Date First Named Inventor Eric J. Geiger Art Unit 1711 **Examiner Name** Rabon A. Sergent (to be used for all correspondence after initial filing) Attorney Docket Number 16361US01 11 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Fee Transmittal Form □ Drawing(s) After Allowance Communication to TC Fee Attached Licensing-related Papers Appeal Communication to Board Amendment/Reply Petition of Appeals and Interferences After Final Petition to Convert to a Appeal Communication to TC Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information **Extension of Time Request** Change of Correspondence Status Letter Address **Express Abandonment Request** Return-Receipt Postcard Terminal Disclaimer Information Disclosure Statement Request for Refund Other Enclosure(s) (please identify below): Certified Copy of Priority CD Number of CD(s) Document(s) Landscape Table on CD Reply to Missing Parts/ Incomplete Application Powr of Attorney by Assignee of Entire Interest; Statement Reply to Missing Parts under Remarks Under 37 CFR 3.73(b); copy of faxed Assignment and 37 CFR 1.52 or 1.53 Recordation Cover Sheet SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual Name McAndrews Held & Malloy, Ltd. Trov A. Groetken Registration No. (Attorney/Agent) 46,442 Name (Print/type) Signature Date: November 30, 2004 **EXPRESS MAIL DEPOSIT** "Express Mail" mailing label number: EV 436262769US Date of Deposit November 30, 2004.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	CERTIFICATE OF MAILING
Eric J. Geiger, et al.	I hereby certify that this correspondence is being deposited with the United States Postal
Serial No. 09/696,675	Service as express mail in an envelope
Filed: October 25, 2000	addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria VA, 22313-1450 on
For: Phthalic Anhydride Based Polyesterether Polyols and Double Metal Cyanide Catalyst System for Preparing Same	November 30, 2004. Express Mail No: EV 219884405 US By: Troy A Groetken Reg. No. 46,442

Commissioner of Patents P.O. Box 1450 Alexandria VA, 22313-1450

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As assignee of record of the entire interest of the above identified:

■ Application

☐ Patent

the undersigned hereby appoints:

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Kevin E. Borg	Reg. No. 51,486
Christopher Scharff	Reg. No. 53,556
Fredrick French III	Reg. No. 52,524

and all other attorneys and agents associated with Customer No. 23,446 to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and directs that all correspondence be addressed to:

Troy A. Groetken McAndrews, Held & Malloy, Ltd. 500 West Madison Street 34th Floor/ Suite 3400 Chicago, IL 60661

Assignee of Entire Interest:

Name:

Stepan Company

Address:

22 W. Frontage Road, Northfield, Illinois 60093

EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE

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ASSIGNEE CERTIFICATION

In accordance with 37 C.F.R. §3.73 the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

Date: 11/33/04

Kickerd & Bruman. Signature

Name: Richard S. Brennan Title: Vice President and General Counsel

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